

Qualified Health Plan Issuer Application Instructions

Plan Year 2023

**Extracted section:
Section 1B: Accreditation**

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1. Introduction

In the Accreditation section, you enter information to satisfy the accreditation QHP certification requirement (Figure 1B-1). You can provide information for an accredited product in the Commercial, Medicaid, or Exchange markets. These instructions apply only if you are filing via HIOS to issue a QHP on the FFE.

The instructions for this section apply to the following issuer type:

- QHP
- See Appendix E for additional information.

If your state performs plan management functions or has a State-based Exchange on the Federal Platform (SBE-FP), comply with the requirements for accreditation data collection specified by your state.

2. Data Requirements

To complete this section, you will need the following:

1. Information about which accrediting entity you are accredited by—the National Committee for Quality Assurance (NCQA), URAC, or the Accreditation Association for Ambulatory Health Care (AAAHC).
2. The Quality Improvement Strategy (QIS). Information about submission criteria and supporting documentation can be found in Appendix C.

Figure 1B-1. Accreditation Application Section

Accreditation

[Instructions and Reference Material \(PDF\) \[3.21 MB\]](#)

[Summary](#)

[Program Attestations](#)

Accreditation

[ECP/Network Adequacy](#)

[Review](#)

[Data Submitter](#) [Final Submission](#)

Fields marked with an asterisk (*) are required.

* Does the applicant currently have any commercial, Medicaid or Exchange health plans in this state, TX, accredited by a HHS recognized accrediting entity?

Yes No

[Save](#) [Submit Section](#) [Previous](#) [Next](#)

3. Quick Reference

Key Changes for 2023

- ◆ No changes for the 2023 QHP Application.

Tips for the Accreditation Section

- ◆ Only provide information on accredited products in the same state and for the same legal entity that submits the QHP Application.
- ◆ Provide accrediting entities with your legal issuer name and the HIOS Issuer ID used in your QHP Application to ensure the HIOS Issuer ID is included in the data file the accrediting entity provides to us.

Additional Resources

- ◆ There are [optional supporting documents](#) for this section.
- ◆ There are no instructional videos for this section.
- ◆ There are no templates for this section.

4. Detailed Section Instructions

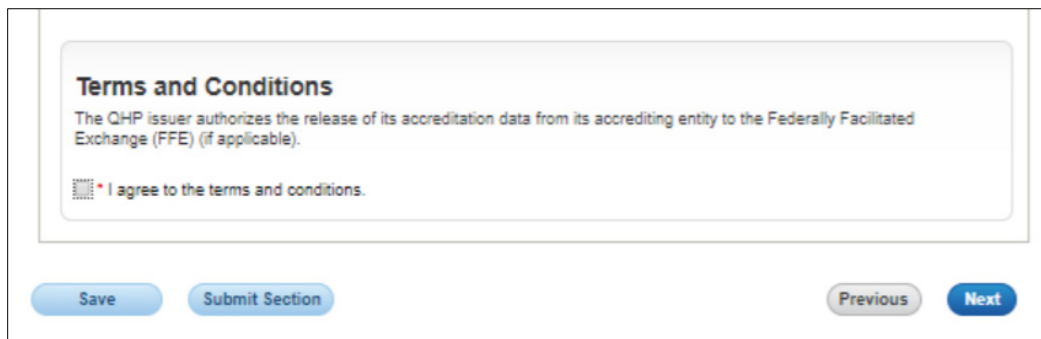
If the answer to the accreditation question is **Yes**, an additional section will appear for you to select an accrediting entity from the list. Selecting an option from the list will display the associated templates.

| Accreditation Question | Steps |
|---|--|
| Does the applicant currently have any Commercial, Medicaid, or Exchange health plans in this state, [state of issuer identified], accredited by an HHS-recognized accrediting entity? | Choose from the following: <ul style="list-style-type: none"> ◆ Yes—if you have existing health plan accreditations on any products in the Exchange, Commercial, or Medicaid market from NCQA or URAC. If you are dual-product issuer with an accredited medical plan, you should also select Yes. Proceed to the next step. ◆ No—if you are accredited by AAAHC, are only offering SADPs, or are not currently accredited by NCQA or URAC. |

| Accreditation Responses | Steps |
|---|--|
| If you respond Yes to the above question, you must then select the accrediting entity. | Choose from the following: <ul style="list-style-type: none"> ◆ NCQA—if you are accredited by NCQA. ◆ URAC—if you are accredited by URAC. ◆ NCQA & URAC—if you are accredited by both NCQA and URAC. |
| If you respond No to the question because you are accredited by AAAHC, you must email in the required documents. | If you are accredited by AAAHC you should email required documentation to CMS_FEPS@cms.hhs.gov . |

After responding to the above question, complete the Terms and Conditions attestation, regardless of your response (Figure 1B-2).

Figure 1B-2. Displays the Terms and Conditions Acceptance for All Issuers



You may upload copies of your accreditation certificates into HIOS.

| Accreditation Certificate | Steps |
|---------------------------|--|
| Scan Certificate | Scan each accreditation certificate (one per accredited market type). If you are accredited by NCQA and do not have a copy of your accreditation certificate, use the NCQA Interactive Survey System (ISS) to get a copy of the survey results. Log into ISS, click on the <i>Results</i> tab, view the survey results, and print the results screen. |
| Name File | Save the accreditation certificate using the naming convention in Table C-1. For example: "12345_NCQA.pdf." |
| Upload Certificate | Upload the saved files in the Accreditation section of the HIOS Issuer Module. Refer to the Issuer Module User Guide for further instruction. |

Issuers in their initial year of QHP certification must schedule (or plan to schedule) a review of their QHP policies and procedures with a recognized accrediting entity (i.e., AAAHC, NCQA, or URAC). Issuers do not

need to be accredited in their initial year of QHP certification, but **must** be accredited 90 days before the first day of Open Enrollment when entering any subsequent year of QHP certification.

Issuers entering their second year (or later) of QHP certification must be accredited by a recognized accrediting entity 90 days prior to Open Enrollment on the policies and procedures that are applicable to its Exchange products, or receive commercial or Medicaid health plan accreditation granted by one of the recognized accrediting entities.

Second-year issuers that immediately pursued Exchange certification after their initial year of certification and have an accreditation status of “scheduled” or “in process” are asked to upload documentation from their accrediting entity indicating that they have completed the policies and procedures review and are scheduled for or are in the process of completing additional review.

Issuers entering their fourth year (or later) of QHP participation must have Exchange health plan accreditation 90 days prior to Open Enrollment with one of the following statuses:

1. AAAHC—Accredited
2. NCQA—Accredited or Provisional
3. URAC—Full or Conditional

Note: If you were previously QHP certified, but did not pursue certification in the preceding year and are pursuing certification in the current year, you will be held to the second-year accreditation standard, i.e., you must be accredited 90 days prior to Open Enrollment.

Note: CMS reviews issuers that crosswalk enrollees to a new HIOS Issuer ID for accreditation based on their cumulative years of certification. Therefore, you must ensure that the receiving HIOS Issuer ID issuer is appropriately accredited. For example, if Issuer A participated for 3 consecutive years and is crosswalking all of their plans to Issuer B who has only participated for 1 year, Issuer B would now be considered a fourth-year issuer and must meet the requirements for issuers in their fourth year (or later) of participation.

The next section of the Instructions for the PY2023 QHP Application is Essential Community Providers/Network Adequacy.